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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/945,476	08/30/2001	Makoto Yamada	112857-287	3220

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EXAMINER

GRAHAM, CLEMENT B

ART UNIT	PAPER NUMBER
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3628

DATE MAILED: 06/16/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	09/945,476	YAMADA ET AL.	
	Examiner	Art Unit	
	Clement B. Graham	3628	

– The MAILING DATE of this communication appears on the cover sheet with the correspondence address –
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 30 August 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-14, are rejected under 35 U.S.C. 102(e) as being anticipated by Yacobi U.S Patent 5, 878, 138).

As per claim 1, Yacobi discloses an information processing system comprising:
a first information processing apparatus managed by a first business entity performing the management of an electronic money brand in an electronic money service and the management of an affiliate business entity in the electronic money service.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67)
first information giving and receiving means for giving and receiving information with a third information processing apparatus managed by a second business entity issuing a second information processing apparatus in which electronic money information and authentication information employed for authentication processing for the electronic money service are recorded.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67)
second information giving and receiving means for giving and receiving information with a fourth information processing apparatus managed by a third business entity providing a service that uses the electronic money;
first recording means for recording the authentication information used for the authentication processing for the electronic money service;
second recording means for recording the information regarding the second business entity and the information regarding a tie-up agreement between the

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first business entity and the second business entity.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) and third recording means for recording the information regarding the third business entity and the information regarding a tie-up agreement between the first business entity and the third business entity.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 2, Yacobi discloses a wherein the first information giving and receiving means outputs the authentication information recorded by the second recording means on the basis of the information regarding the tie-up agreement between the first business entity and the second business entity that has been recorded by the second recording means, and the second information giving and receiving means outputs the authentication information recorded by the first recording means on the basis of the information regarding the tie-up agreement between the first business entity and the third business entity that has been recorded by the third recording means. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 3, Yaconi discloses a further comprising first accounting means for carrying out accounting with the second business entity, and second accounting means for carrying out accounting with the third business entity. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 4, Yaconi discloses further comprising authenticating means for carrying out authentication processing using the authentication information recorded by the first recording means.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) wherein the authenticating means carries out authentication processing by using the authentication information associated with the third business entity recorded by the first recording means when a signal requesting the implementation of authentication processing is received from the third business entity by the

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second information giving and receiving means. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 5, Yacobi discloses further comprising: third information giving and receiving means for giving and receiving information, through a network, with a fifth information processing apparatus that rewrites the electronic money information recorded in the second information processing apparatus.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) and generating means for generating a control signal causing the fifth information processing apparatus to carry out the loading of electronic money into the second information processing apparatus.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

wherein the generating means generates the control signal for the second information processing apparatus, which has been issued by the second business entity, on the basis of information regarding the tie-up agreement between the first business entity and the second business entity that has been recorded by the second recording means, and the third information giving and receiving means outputs the control signal generated by the generating means to the fifth information processing apparatus. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 6, Yacobi discloses wherein the network is the Internet, and the third information giving and receiving means further exchanges information with a sixth information processing apparatus managed by the third business entity having a virtual store on the Internet. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 7, Yacobi discloses a n information processing method for a first information processing apparatus managed by a first business entity that performs the management of an electronic service brand and the management of an electronic money service affiliate business entity, comprising:

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a first information giving and receiving step for giving and receiving information with a second information processing apparatus managed by a second business entity issuing a portable electronic device in which electronic money information and authentication information used for authentication processing related to the electronic money service are recorded.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67)

a second information giving and receiving step for giving and receiving information with a third information processing apparatus managed by a third business entity providing a service that uses the electronic money;

a first recording step for recording the authentication information used for authentication processing related to the electronic money service.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) a second recording step for recording information regarding the second business entity and information regarding a tie-up agreement between the first business entity and the second business entity; and

a third recording step for recording information regarding the third business entity and information regarding a tie-up agreement between the first business entity and the third business entity, wherein.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67)

in the first information giving and receiving step, the authentication information, which has been recorded by the processing of the first recording step, is output on the basis of the information regarding the tie-up agreement between the first business entity and the second business entity that has been recorded by the processing of the second recording step, and in the second information giving and receiving step, the authentication information, which has been recorded by the processing of the first recording step, is output on the basis of the information regarding the tie-up agreement between the first business entity and the third business entity that has been recorded by the processing of the third recording step. .(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

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As per claim 8, Yacobi discloses a recording medium in which a computer-readable program for a first information processing apparatus managed by a first business entity that manages an electronic money brand in an electronic money service, and acquires and manages an affiliate business entity of the electronic money service has been recorded, the program comprising: a first information giving and receiving step for giving and receiving information with a third information processing apparatus managed by a second business entity issuing a second information processing apparatus in which electronic money information and authentication information used for authentication processing related to the electronic money service are recorded.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) a second information giving and receiving step for giving and receiving information with a fourth information processing apparatus managed by a third business entity providing a service that uses the electronic money; a first recording step for recording the authentication information used for authentication processing related to the electronic money service.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) a second recording step for recording information regarding the second business entity and information regarding a tie-up agreement between the first business entity and the second business entity; and a third recording step for recording information regarding the third business entity and .(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) information regarding a tie-up agreement between the first business entity and the third business entity, wherein, in the first information giving and receiving step, the authentication information, which has been recorded by the processing of the first recording step, is output on the basis of the information regarding the tie-up agreement between the first business entity and the second business entity that has been recorded by the processing of the second recording step, and

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in the second information giving and receiving step, the authentication information, which has been recorded by the processing of the first recording step, is output on the basis of the information regarding the tie-up agreement between the first business entity and the third business entity that has been recorded by the processing of the third recording step. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 9, Yacobi discloses an electronic money service providing system comprising:

a first information processing apparatus managed by a first business entity that manages an electronic money brand in an electronic money service, and acquires and manages an affiliate business entity of the electronic money service. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67)

a second information processing apparatus in which electronic money information and authentication information used for authentication processing for the electronic money service are recorded a third information processing apparatus managed by a second business entity issuing the second information processing apparatus; and a fourth information processing apparatus managed by a third business entity providing a service that uses the electronic money. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) wherein the first information processing apparatus comprises:

first information giving and receiving means for giving and receiving information with If the third information processing apparatus managed by the second business entity. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) second information giving and receiving means for giving and receiving information with the fourth information processing apparatus managed by the third business entity; first recording

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means for recording the authentication information used for the authentication processing for the electronic money service;

second recording means for recording the information regarding the second business entity and the information regarding a tie-up agreement between the first business entity and the second business entity.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67)

and third recording means for recording the information regarding the third business entity and the information regarding a tie-up agreement between the first business entity and the third business entity, the first information giving and receiving means outputs the authentication information, which has been recorded by the first recording means, on the basis of the information regarding a tie-up agreement between the first business entity and the second business entity that has been recorded by the second recording means, .(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) the second information giving and receiving means outputs the authentication information, which has been recorded by the first recording means, on the basis of the information regarding a tie-up agreement between the first business entity and the third business entity that has been recorded by the third recording means, the second information processing apparatus comprises:

fourth recording means for recording the authentication information output to the third information processing apparatus by the first information giving and

fifth recording means for recording the electronic money information,

the third information processing apparatus comprises:

third information giving and receiving means for giving and receiving information with the first information processing apparatus;

sixth recording means for recording the authentication information input by the third information giving and receiving means;

seventh recording means for recording information regarding the issuance of the second information processing apparatus.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67)

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and first authentication processing means for implementing authentication processing with the second information processing apparatus on the basis of the authentication information recorded by the sixth recording means, and the fourth information processing apparatus comprises:
fourth information giving and receiving means for giving and receiving information with the first information processing apparatus;
eighth recording means for recording the authentication information input by the fourth information giving and receiving means; and
second authentication processing means for implementing authentication processing with the second information processing apparatus on the basis of the authentication information recorded by the eighth recording means. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 10, Yacobi discloses wherein the third information processing apparatus further comprises fifth information giving and receiving means for giving and receiving information with a plurality of fifth information processing apparatuses that carry out processing for rewriting the electronic money information recorded by the fifth recording means of the second information processing apparatus, and the first authenticating means carries out authentication processing on the basis of the authentication information that has been input by the fifth information giving and receiving means and recorded by the fourth recording means of the second information processing apparatus. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 11, Yacobi discloses wherein the fourth information processing apparatus further comprises fifth information giving and receiving means for giving and receiving information with a plurality of fifth information processing apparatuses that carry out processing for rewriting the electronic.(see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67) money information recorded by the fifth

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recording means of the second information processing apparatus, and the second authenticating means carries out authentication processing on the basis of the authentication information that has been input by the fifth information giving and receiving means and recorded by the fourth recording means of the second information processing apparatus. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 12, Yacobi discloses wherein the fourth information processing apparatus further comprises:
fifth information giving and receiving means for giving and receiving information with the second information processing apparatus. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67)
and generating means for generating a control signal for rewriting the electronic money information recorded by the fifth recording means of the second information processing apparatus, and the second authenticating means for carrying out authentication processing on the basis of the authentication information that has been input by the fifth information giving and receiving means and recorded by the fourth recording means of the second information processing apparatus. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 13, Yacobi discloses wherein the second information processing apparatus further comprises ninth recording means for recording an application for implementing at least one function among a personal authentication card, an entering and leaving key, a commuter ticket, a point card, a membership card, a cash card, a credit card, and a loan card. (see column 2 lines 65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

As per claim 14, Yacobi discloses wherein the second information processing apparatus is an IC card, a portable telephone, an information processing terminal, a personal computer, or a timepiece. (see column 2 lines

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65-67 and column 3 lines 1-29 and column 4 lines 30-67 and column 5-7 lines 1-67).

Conclusion

3. The prior art of record and not relied upon is considered pertinent to Applicants disclosure.

Liddy Eder (US Patent 6, 026, 388) teaches user interface and other enhancements for natural language information retrieval system and method.

Kohorn US PATENT: 5, 508, 731) teaches generation of enlarged participatory broadcast audience.


4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Clement B Graham whose telephone number is 703-305-1874. The examiner can normally be reached on 7am to 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hyung S. Sough can be reached on 703-308-0505. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-0040 for regular communications and 703-305-0040 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

CG

May 23, 2006


FRANTZY POINVIL
PRIMARY EXAMINER
Au 3628